



Broad-Based Black Economic Empowerment Verification Certificate

TRIALPHA INVESTMENT MANAGEMENT (PTY) LTD

Certificate No: **GEN-BEE/TIM/2020-01**

Registration no: 2006/008726/07

VAT no: 4780228831

Head Office, Location: Room 12B, Second Floor, Ou Kollege Building, 35 Church Street, Stellenbosch, Western Cape, 7600

P.O. Box: Postnet Suite 277, Private Bag X 5061, Stellenbosch, Western Cape, 7599

Applicable Criteria: Finance Amended Codes of Good Practice (Gazette number 41287)

Scorecard applied: Generic Scorecard (>R50 million annual turnover)

Element	Weighting	Score
Ownership	25	10.06
Management Control	20	15.61
Skills Development	20	20.45
Enterprise & Supplier Development	35	39.73
Socio-Economic Development	5	7.71
Overall Score	105	97.12

Broad Based BEE status level : **Level 1 Contributor to B-BBEE**
(After YES Enhancement)

Procurement Recognition Level : 135.00 %

Black Ownership : 3.18 %

Black Women Ownership : 0.00 %

Empowering Supplier : Yes

Designated Group Supplier : No

Modified Flow Through Applied : No

Period Measured : 01 April 2018 – 31 March 2019

TriAlpha Investment Management (Pty) Ltd has been independently verified in accordance with the Amended Codes of Good Practice, issued in term of section 9(1) of the Broad-Based Black Economic Empowerment Act 46 of 2013 or as amended. This Broad-Based Black Economic Empowerment Verification Certificate is based on information provided to RVN Empowerment Services, a SANAS accredited B-BBEE Verification agency.

Andre Rautenbach
Technical Signatory

Date of issue: 07 April 2020
Expiry date: 06 April 2021
Period of validity: 12 months



BVA 215

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